

**TRANSMITTAL LETTER**  
(General - Patent Pending)

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Docket No.  
**0112300/449**

In Re Application Of: **Joseph R. Hedrick et al.**

|                                 |  |                            |                               |
|---------------------------------|--|----------------------------|-------------------------------|
| Serial No.<br><b>09/966,696</b> | Filing Date<br><b>September 28, 2001</b> | Examiner<br><b>Unknown</b> | Group Art Unit<br><b>3713</b> |
|---------------------------------|--|----------------------------|-------------------------------|

Title: **GAMING DEVICE HAVING A CONTAINER-ITEM HOLDER**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:


Transmitted herewith is:

1. Transmittal Information Disclosure Statement (2 pages);
2. Information Disclosure Statement (1 page);
3. Information Disclosure Citation - PTO 1449 (1 page);
4. Reference Cited;
5. Preliminary Amendment (2 pages);
6. A postcard which we ask you to date stamp and return.

in the above identified application.

☒ No additional fee is required.  
☐ A check in the amount of \_\_\_\_\_ is attached.  
☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818**  
as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of \_\_\_\_\_  
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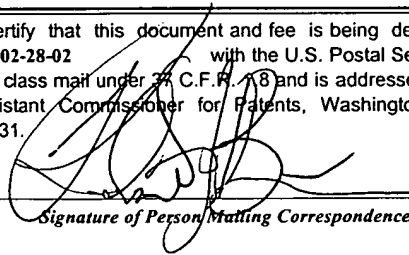
  


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Signature

Dated: **February 28, 2002**

I certify that this document and fee is being deposited on **02-28-02** with the U.S. Postal Service as first class mail under 39 C.F.R. 118 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

**Robert Buccieri**

Typed or Printed Name of Person Mailing Correspondence

|   |                                   |                     |                           |
|---|-----------------------------------|---------------------|---------------------------|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))   |                                   |                     | Docket No.<br>0112300-449 |
| In Re Application Of: <b>Joseph R. Hedrick et al.</b>   |                                   |                     |                           |
| Serial No.<br>09/966,696  | Filing Date<br>September 28, 2001 | Examiner<br>Unknown | Group Art Unit<br>3713    |
| Title: <b>GAMING DEVICE HAVING A CONTAINER-ITEM HOLDER</b>  |                                   |                     |                           |
| Address to:<br>Assistant Commissioner for Patents<br>Washington, D.C. 20231   |                                   |                     |                           |
| <b>37 CFR 1.97(b)</b>   |                                   |                     |                           |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                                   |                     |                           |
| <b>37 CFR 1.97(c)</b>   |                                   |                     |                           |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:   |                                   |                     |                           |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);   |                                   |                     |                           |
| <b>OR</b>   |                                   |                     |                           |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).   |                                   |                     |                           |

|   |  |                            |                                  |
|---|--|----------------------------|----------------------------------|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))   |  |                            | Docket No.<br><b>0112300-449</b> |
| In Re Application: <b>Joseph R. Hedrick et al.</b>  |  |                            |                                  |
| Serial No.<br><b>09/966,696</b>   | Filing Date<br><b>September 28, 2001</b> | Examiner<br><b>Unknown</b> | Group Art Unit<br><b>3713</b>    |
| <b>GAMING DEVICE HAVING A CONTAINER-ITEM HOLDER</b>   |  |                            |                                  |
| <p style="text-align: center;"><b>Payment of Fee</b><br/>(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. <b>02-1818</b> as described below. A duplicate copy of this sheet is enclosed.</p> <p style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____<br/> <input type="checkbox"/> Credit any overpayment.<br/> <input checked="" type="checkbox"/> Charge any additional fee required.         </p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"><b>Certificate of Transmission by Facsimile*</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             _____<br/>(Date)           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             _____<br/>Signature           </div> <div style="border: 1px solid black; padding: 5px;">             _____<br/>Typed or Printed Name of Person Signing Certificate           </div> </div> <div style="width: 45%;"> <p style="text-align: center;"><b>Certificate of Mailing by First Class Mail</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             I certify that this document and fee is being deposited on <b>02/28/02</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <br/>             _____<br/>Signature of Person Mailing Correspondence           </div> <div style="border: 1px solid black; padding: 5px;">             Robert Buccieri<br/>             _____<br/>Typed or Printed Name of Person Mailing Certificate           </div> </div> </div> <p style="font-size: small;">*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <br/>             _____<br/>Signature           </div> <div style="width: 45%;">             Dated: <b>February 28, 2002</b> </div> </div> |  |                            |                                  |
| CC:   |  |                            |                                  |



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joseph R. Hedrick et al.  
Appl. No.: 09/966,696  
Filed: September 28, 2001  
Title: GAMING DEVICE HAVING A CONTAINER-ITEM HOLDER  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 0112300-449

Honorable Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**OTHER DOCUMENTS**

Slot Machines written by Marshall Fey published 1983, 1989, 1991, 1994, 1997.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

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